

1 Electromagnetic Nanonetworks for Sensing and 2 Drug Delivery

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8 Abstract

9 The use of nanodevices for biomedical applications has recently been object of
10 study by researchers. Novel prospectives can be envisaged in the field of nano-
11 medicine, also supported by innovative nanodevices with specific properties.

12 In this chapter, we present the electromagnetic properties of different metal na-
13 noparticles (*i.e.*, nanocube, nanocylinder, nanorod, bow-tie, biconical nanoparti-
14 cle, etc.), opportunely functionalized for sensing applications, as well as drugged
15 with medicament to be released to specific locations, for innovative therapeutic
16 treatments. After modeling the design of such nanoparticles, we investigate the
17 *channel model* adopted in electromagnetic nanonetworks. Basically, we focus on
18 the nanoparticle transmission, diffusion and reception processes, both for extra-
19 and in-vivo applications *i.e.*, for the detection of target cells in a biological tissue
20 sample, and for drug delivery via nanoparticle adsorption, respectively. Numerical
21 results obtained through full-wave simulations have shown the effectiveness of
22 electromagnetic nanoparticles for specific biomedical applications (*e.g.*, DNA al-
23 teration detection). Finally, we highlight that in this chapter the electromagnetic
24 properties that are described are used for sensing and drug delivery, and not for
25 communication among nanoparticles.

26 Introduction

27 The concept of *nanomedicine* arises from the visionary idea that miniaturized
28 devices at nanoscale level (*i.e.*, nanodevices or nanorobots) could be designed,
29 manufactured, and introduced into the human body, for therapeutic aims (*e.g.*, cel-
30 lular repairs at the molecular level) [1]. The possibility of applying *nanotechnolo-*
31 *gy* to medicine has been object under study for the last decades, and it represents a

1 new approach based on the comprehension and deep knowledge of the properties
2 of the matter at the nanoscale level [2].

3 The intrinsic behavior and characteristics of nanodevices distinguish them from
4 traditional devices working at the macroscale level, and particular features at the
5 nanoscale level should be addressed [3]. Indeed, the properties of the matter dras-
6 tically change, making it necessary a synergy among several different disciplines,
7 in order to define novel communications techniques and design efficient
8 nanodevices [4].

9 Generally, nanodevices represent the most basic functional unit with passive
10 features, which allow performing very easy tasks, like sensing or actuation. A set
11 of nanodevices, sharing the same medium (*e.g.*, the biological tissue or the blood
12 flow) and performing multiple tasks, form a *nanonetwork* [5]. Nanonetworks al-
13 low to expand the number and range of applications envisioned for single
14 nanodevices, since collaborative tasks can be done by different nanodevices.
15 Nowadays, applications are foreseen in four main fields, namely (*i*) biomedical,
16 (*ii*) environmental, (*iii*) industrial and consumer goods, and (*iv*) military and de-
17 fense [3, 6].

18 Communication and signal transmission techniques to be utilized/used in
19 nanonetworks are one of the most challenging topics, due to the limited computa-
20 tion skills of single nanodevices. Classical communication and network paradigms
21 cannot be directly utilized in nanonetworks, since the poor capabilities of
22 nanodevices pose novel challenges, establish new requirements and show novel
23 properties that need to be opportunely addressed. As an instance, current encoding
24 and decoding techniques are not feasible due to very limited processing capability
25 of nanodevices, as well as traditional transceiver circuitries cannot be mounted in-
26 to them due to the limitation of the nanoscale. Also novel mobility models should
27 be addressed accordingly to this particular field, due to specific physical rules in
28 this regime [7].

29 The biomedical field is one of the most challenging area of application of
30 nanonetworks, as well as the most intriguing due to a variety of biomedical sce-
31 narios. Indeed, in the biomedical field, nanonetworks are expected to provide a
32 perfect interface to interact with single molecules, proteins, DNA sequences and
33 the major components of cells. Both in-vivo and extra-vivo applications of bio-
34 compatible nanodevices are largely investigated. As an instance, the use of na-
35 nosensors to detect chemical compounds in concentrations, or the presence of dif-
36 ferent infectious agents, such as virus or bacteria is an objective of several
37 research studies [8, 9].

38 In the biomedical field, we highlight three main applications *i.e.*, (*i*) *health*
39 *monitoring systems*, (*ii*) *Drug Delivery Systems (DDS)*, and (*iii*) *bio-hybrid im-*
40 *plants*. In health monitoring systems, the use of nanosensors allows detecting and
41 monitoring different levels of molecule concentration in the blood (*e.g.*, sodium,
42 glucose and other ions), as well as the presence of infectious intra-body agents.
43 The DDS use nanoactuators capable of releasing nanoparticles, drugs or biomole-
44 cules in specific locations of the body; this means that drug molecules are released

1 locally and are adsorbed only by the diseased cellular membranes, so that patients
2 benefit from a less invasive and much more efficient treatment. Finally, bio-hybrid
3 implants rely on nanodevices able to cooperate not only with each others, but also
4 with biological components (*e.g.*, to restore the central nervous tracks or to sup-
5 port the immune system).

6 As the design and manufacturing of devices at the nanoscale advance, new posi-
7 bilities are given for the interconnection among nanodevices and new challenges
8 rise in the development of protocols and channel models for nanonetworks. Based
9 on the different types of nanodevices (*i.e.*, biological, and electromagnetic),
10 nanonetworks are mainly classified as (*i*) *molecular* [10], and (*ii*) *electromagnetic*
11 [3].

12 In this chapter, we investigate electromagnetic (EM) nanonetworks properties
13 with different nanoparticles, working in the THz band, used for sensing and drug
14 delivery, and foreseeing the transmission and reception of electromagnetic radia-
15 tion from components based on nanomaterials. In [3], Akyildiz and Jornet present
16 the architecture of a nanosensor device as comprised of nanosensors, nanoactua-
17 tor, nano-memory, nano-antenna, nano-EM transceiver, nano-processor and nano-
18 power unit. All these components allow the integrated device to sense, compute or
19 even perform local actuation. Furthermore, the authors foresee that nanosensor
20 devices will potentially communicate among them in the terahertz band (*i.e.*, 0.1-
21 10.0 THz).

22 The main challenges of electromagnetic-based nanonetworks are expressed in
23 terms of THz channel modeling, information encoding and protocols for nanosen-
24 sor networks. A physical channel model for wireless communication in the THz
25 band has been developed by Jornet and Akyildiz in [11]. The presented model
26 computes the signal path loss, the molecular absorption noise and, ultimately, the
27 channel capacity of EM nanonetworks. In [12], a modulation and channel sharing
28 mechanism based on the asynchronous exchange of femtosecond-long pulses
29 transmitted through an on-off keying modulation is proposed for the transmission
30 of binary streams among nanodevices of an EM nanonetwork. A medium access
31 control protocol for EM nanonetworks built on the top of the pulse-based commu-
32 nication scheme in [12] for the coordination of multiple simultaneous transmis-
33 sions is presented in [13]. The proposed protocol is tailored to the peculiarities of
34 the terahertz band and is constituted by two main stages *i.e.*, (*i*) the handshaking
35 process, and (*ii*) the transmission process. Finally, in [14] Jornet and Akyildiz
36 have developed an energy model for self-powered nanosensor motes, which suc-
37 cessfully captures the correlation between the energy harvesting and the energy
38 consumption processes. The energy harvesting process is realized by means of a
39 piezoelectric nanogenerator, for which a new circuitual model is developed that can
40 accurately reproduce existing experimental data. The energy consumption process
41 is due to the communication among nanosensor motes in the THz band.

42 The application of nanoparticles for combined targeting and delivery of diag-
43 nostic and therapeutic agents has received significant attention in the last years
44 [15]. Colloidal metallic nanoparticles have been recently investigated in the field

1 of nanomedicine, especially for drug delivery systems [16]. Noble metals, like sil-
2 ver and gold, are largely used for the design of nanoparticles, in the field of sens-
3 ing applications, as well as acting as carriers of medicament molecules. Particular-
4 ly, colloidal silver has been used as an antibacterial agent by weakening DNA
5 replication and inactivating proteins, while gold has low toxicity to biological sys-
6 tems, and so results inefficient for antibiotic therapy [17]. In addition, nanoparti-
7 cles are also engineered to provide sustained drug release [18] [19], especially
8 beneficial for chronic therapies. In [20], the authors use gold nanoparticles for di-
9 agnostic and drug delivery applications by exploiting chitosan. The use of chitosan
10 serves dual purpose by acting as a reducing agent in the synthesis of gold nanopar-
11 ticles, and also promotes the penetration and uptake of peptide hormone insulin
12 across the mucosa. As a first step towards real implementable solutions, in [21]
13 nanomachines have been designed for medical applications to colonize and auton-
14 omously work inside the human body.

15 Models for particulate DDS, based on the injection of drug molecules, have
16 been proposed in [22, 23]. In [22], the authors consider the human blood vessels to
17 model the medium where molecules diffuse, subjected to the cardiac input. Drug
18 molecules are then allowed to move in every location of the cardiovascular sys-
19 tem. On the other side, the possibility of using a swarm of bio-nanomachines (*i.e.*,
20 nanoscale devices composed of natural or synthetic biological materials) with col-
21 lective behaviour, in order to perform collaborative tasks like target detection and
22 molecule guiding, has been investigated by Nakano *et al.* in [23]. The same con-
23 cept of swarm of nanomachines has been utilized in [24], where endogenous dis-
24 eases of the brain are treated by means of nanodevices that communicate through
25 acoustic signals. Finally, a multi-source nanonetwork model for extra-vivo bio-
26 medical diagnosis applications, specifically the detection of DNA alterations, is
27 presented in [25].

28 In this chapter we focus on the use of electromagnetic nanodevices for biomed-
29 ical applications, specifically sensing of DNA alterations and drug delivery
30 through oral ingestion. After describing the main features of electromagnetic na-
31 noparticles used in biomedical applications, we consider a physical end-to-end
32 model, and investigate how nanoparticles are transmitted, diffuse, and finally are
33 captured. Furthermore, we also present simulation results that show the capability
34 of nanosensors to operate in biomedical applications.

35 This book chapter is organized as follows. In Section 2, we present different
36 types of electromagnetic nanodevices (*i.e.*, nanoparticles), and describe their spe-
37 cific features and properties. All these nanoparticles represent simple nanonodes,
38 comprising an electromagnetic nanonetwork, and are then subjected to specific
39 processes (*i.e.*, transmission, diffusion, and reception). These are then investigated
40 in Section 3. The sensing and drug delivery applications of nanoparticles are final-
41 ly presented in Section 4; we show specific use cases, by means of simulation re-
42 sults. Finally, conclusions and considerations on future investigations are drawn at
43 the end of the chapter.

1 **Electromagnetic nanoparticles for biomedical applications**

2 In the last few years the fabrication of nanostructures received too much atten-
3 tion. Optical properties of metallic nanoparticles make them suitable for biomed-
4 ical applications [26]. In particular, gold nanoparticles have inner electromagnetic
5 properties, depending on the size, shape, geometrical parameters, and the sur-
6 rounding dielectric environment Refractive Index (*i.e.*, RI). To be more precise,
7 the strongly enhanced Localized Surface Plasmon Resonance (LSPR) of this met-
8 al, at optical frequencies, makes it good light scatters and absorbers [27]. In addi-
9 tion to this, gold nanoparticles offer good bio-compatibility, optimal synthesis and
10 conjugation properties [28], and are useful tools as contrast agents in cellular and
11 biological imaging [29].

12 Nanoparticles are of great interest in biomedical applications such as light scat-
13 tering microscopy-based imaging, sensing applications, and photothermal therapy
14 for superficial and deep tumors treatment [30]. New sensing techniques to reveal
15 malignant tissues are needed. For many optical sensors, proposed in literature, the
16 presence of the biological sample is detected by measuring its refractive index in
17 several ways [31].

18 One of the most used techniques for biosensing is based on the LSPR phenom-
19 enon [32], which occurs when an electromagnetic plane wave impinges on metal-
20 lic nanoparticles that are electrically small. In this condition the free electrons of
21 the nanoparticle follow collectively the electromagnetic oscillations. This phe-
22 nomenon derives from the peculiar metallic nanoparticles optical properties, and
23 leads to a strong local electromagnetic field enhancement. Therefore, the resonant
24 frequency of the electron motion strongly depends on the nanoparticle size, shape,
25 composition, and surrounding dielectric environment [33].

26 In order to explain the nanoparticle electromagnetic properties, in terms of scat-
27 tering and absorption cross-section, the following assumptions need to be estab-
28 lished:

- 29 • The particle size is much smaller than the wavelength in the surrounding medi-
30 um. In this case, under the limit of electrically small particles, the electromag-
31 netic field is approximately constant over the particle volume, and then the res-
32 onant behavior of the structure can be studied in terms of a quasi-static
33 approximation;
- 34 • The considered particle is homogeneous and isotropic. In addition, the sur-
35 rounding material is a homogeneous, isotropic and non-absorbing medium.

36 Under previous assumptions, it is possible to relate the geometrical properties
37 of the structure to its electromagnetic ones (*i.e.*, scattering and absorption), by de-
38 veloping its polarizability analytical expression:

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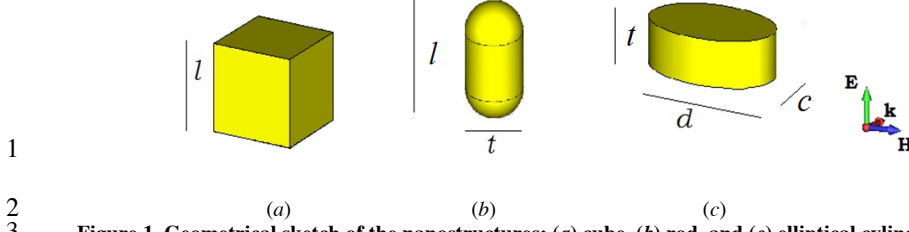


Figure 1. Geometrical sketch of the nanostructures: (a) cube, (b) rod, and (c) elliptical cylinder.

$$\underline{\underline{\alpha}} = V \varepsilon_e \sum_{n=1}^3 \frac{\varepsilon_i - \varepsilon_e}{\varepsilon_e + L_n (\varepsilon_i - \varepsilon_e)} \underline{u}_n \underline{u}_n, \quad (1)$$

where V is the nanoparticle volume, ε_e is the dielectric permittivity of the surrounding environment, ε_i is the complex dielectric permittivity of the metallic nanoparticle, \underline{u}_n are unit vectors in the direction of the principal axes of the nanoparticle, and L_n (with $n = [1, 2, 3]$) are the three components of the corresponding depolarization dyadic, that is

$$\underline{\underline{L}} = L_1 \underline{u}_1 \underline{u}_1 + L_2 \underline{u}_2 \underline{u}_2 + L_3 \underline{u}_3 \underline{u}_3. \quad (2)$$

The depolarization dyadic L is an essential concept in the evaluation of the electric field in the source region, in order to establish the electromagnetic response of an arbitrary shape of nanoparticle through the polarizability expression. From a physical point of view, it is a dimensionless matrix that allows taking into account anisotropic nanoparticles.

Following the same procedure in [34], it is possible to develop new depolarization factors for specific nanoparticles, and then new analytical closed-form formulas for scattering and absorption cross-section can be derived.

The general expression describing the *extinction cross-section* properties for different nanoparticles shapes can be written as the sum of absorption and scattering phenomenon as follows:

$$C_{ext} = k \cdot \text{Im}[\alpha] + \frac{k^4}{6\pi} |\alpha|^2, \quad (3)$$

where $k = \frac{2\pi n}{\lambda}$ is the wavenumber, λ is the wavelength, and $n = \sqrt{\varepsilon_e}$ is the refractive index of the surrounding dielectric environment. The extinction cross-section represents the effective area that governs the probability of scattering and absorption event by a nanoparticle. In general, the extinction cross-section is different from the geometrical cross-section of a particle, and it depends upon the wavelength of light and the permittivity, shape and size of the particle. In terms of area,

1 the extinction cross-section [nm^2] is the sum of the cross-sections due to absorp-
 2 tion and scattering.

3 Following this way, it is possible to predict in accurate manner the electromag-
 4 netic response of each nanoparticle shape. This aspect is crucial in project phase,
 5 and allows optimizing the nanoparticles sensibility for specific applications.

6 As shown in [35], considering cube, rod and elliptical cylinder nanoparticles,
 7 for each of the considered structures the analytical models have been calculated.
 8 By assuming that the structures are excited by a plane wave, having the electric
 9 field \mathbf{E} and the vector propagation \mathbf{k} directed as depicted in Figure 1, the polariza-
 10 bility of cube, rod and elliptical cylinder nanoparticles follow, respectively:

$$11 \quad L_{\text{cube}} = 10\sqrt{2} \left(1 - \frac{1}{\sqrt{2}} \right) \pi \cdot \frac{1}{l}, \quad (4)$$

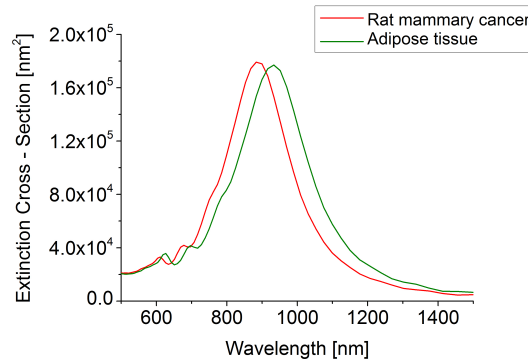
$$12 \quad L_{\text{nanorod}} = 1 - \frac{1}{\sqrt{1 + \left(\frac{t}{2} \right)^2 \cdot \frac{1}{\left(\frac{t}{2} + \frac{l}{4} \right)^2}}}, \quad (5)$$

$$13 \quad L_{\text{elliptical cylinder}} = \frac{1}{\pi} \left(1 - \frac{t}{4\sqrt{\frac{t^2}{2} + \frac{t^2}{16}}} \right) \cdot E \left[\frac{1}{1 - \left[1 - \left(\frac{c}{2} \right)^2 \cdot \left(\frac{2}{t} \right)^2 \right]} - 1 \right], \quad (6)$$

14 where $E [\]$ is the complete Elliptic Integral of the second kind, l [nm] is the cube
 15 side length, as well as the height of the nanorod and of the elliptical cylinder, d
 16 [nm] and c [nm] are the elliptical cylinder base axes lengths, t [nm] is the rod
 17 thickness, as well as the length of elliptical cylinder.

18 Replacing (4), (5) and (6) in (1), and later in (3), the extinction cross-section
 19 spectrum for cube, rod and elliptical cylinder nanoparticles can be obtained [35].
 20 In this way it is possible to predict the optimal geometrical parameters, in order to
 21 optimize the nanostructure for specific applications (*i.e.*, biosensing applications).
 22 For example, in [36], a sensor revealing tumor and adipose tissue is presented. It
 23 consists of a gold linear chain of four nanocubes deposited on a silica substrate,
 24 and allows revealing the rat mammary cancer and adipose tissue by LSPR shift, as
 25 shown in Figure 2.

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1
2 **Figure 2. Extinction Cross-Section spectra for rat mammary cancer ($RI = 1.39$), and adipose tis-**
3 **sue ($RI = 1.467$), [36]. RI values are known in literature.**
4

5 By using very small inter-particle distance among the nanoparticles it is possi-
6 ble to obtain high-scattering and low-absorption efficiencies. These properties are
7 very important for biosensing applications. In fact, high-absorption efficiency
8 could heat the biological sample invalidating medical diagnosis. The biological
9 sample used to test this device is an in-silico replica with values of RI taken from
10 the literature. In particular the RI values of rat mammary adipose and tumor tissue
11 have been considered.

12 Finally, by using the same physical principle, in [37], a label-free immunosen-
13 sor was designed and fabricated for sensitive of alpha-fetoprotein (AFP) of gold
14 nanorods.

15 In literature various shapes of metallic nanoparticles are used for biosensing
16 applications. For example, in [38] the ellipsoidal gold nanoparticles have been an-
17 alyzed, and a new analytical study of metallic nanoparticles working in the infra-
18 red and visible frequency range has been presented. The approach proposed in
19 [38] is a useful tool to design nanostructures for sensing applications.

20 Recently, another important property of metallic nanoparticles has been ana-
21 lyzed and exploited. In [39], an analytical and numerical investigation for modi-
22 fied gold nanorods, operating in the visible and in the infrared regime, is proposed.
23 The modified particle consists in a core/shell structure (*i.e.*, silica core, and gold
24 shell) embedded in a dielectric environment, as shown in Figure 3. In order to
25 study and to tune the electromagnetic nanostructure, a new analytical model has
26 been developed. The electric field distribution at the resonant wavelength demon-
27 strates the intensity of the electromagnetic field in the neighborhood nanoparticle,
28 as shown in Figure 4. This result derives from the silica core that allows the field
29 intensification.

30 Exploiting the obtained model, the nanoparticle sensitivity was studied and ver-
31 ified by full-wave simulations. In particular, being an asymmetric structure, the
32 electromagnetic properties, in terms of extinction cross section (*i.e.*, absorption
33 and scattering) for both longitudinal and transverse modes excitation, have been
34 evaluated.

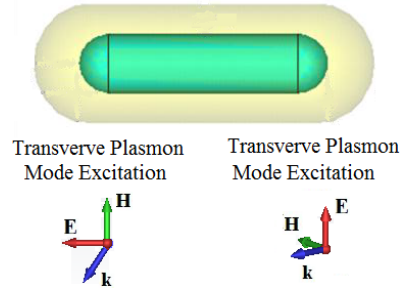


Figure 3. Core/shell nanorod particles, and two mode excitations.

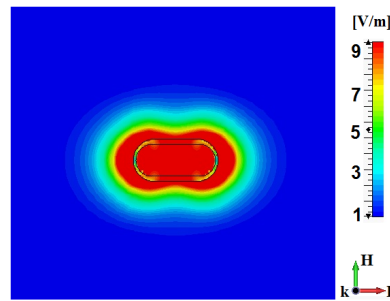


Figure 4. Near electric field distribution at the resonant wavelength (*i.e.*, 696 nm) of nanorod.

As explained in [39], the difference between the longitudinal and transverse sensitivity can be explained in the following manner: it is known that nanoshells possess two resonances arising from the interaction between the classical plasmon resonance of the solid particle, and the resonance of the dielectric core inside the metal. The particle plasmon resonance holds increased sensitivity to the dielectric environment variation, especially for the longitudinal polarization. Instead, the dielectric cavity resonance is much more sensitive to changes in dielectric properties within the nanoparticle core and shell dimensions for transverse polarization.

Modified nanorods represent useful tools for sensing, since they combine two main optical properties of both nanorods (*i.e.*, the high Aspect Ratio), and nanoshells (*i.e.*, core/shell thickness), in order to reach the higher sensitivity. Thus, it allows additional degrees of freedom for the optical tunability of such particles.

In the last few years several research have focused the attention to metallic nanoparticles in a coupled configuration. In fact, to arrange gold nanoparticles in this way allows obtaining a greater intensification of the electromagnetic field, with respect to the single-element configuration. This aspect provides a nanostructure with major sensitivity in terms of LSPR shift [40]. Because the resonance of this coupled mode is sensitive to the gap distance change in the order of few tens of nanometers or less, it is inversely possible to measure such distances by monitoring the scattering of the particle pair, the so-called *plasmon ruler*, as well described in [40].

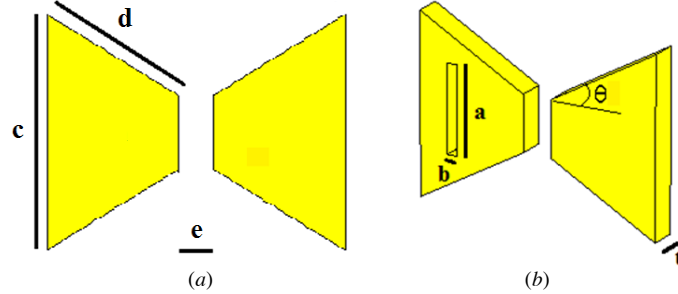


Figure 5. Geometrical sketch of the bow-tie nanoparticle. (a) Top view of classical bow-tie particle, and (b) perspective view of modified bow-tie nanoparticle by dielectric incision. Geometrical parameters: $a = 80$ nm, $b = 10$ nm, $c = 160$ nm, $d = 155$ nm, $e = 20$ nm $\theta = 30^\circ$, and $t = 25$ nm.

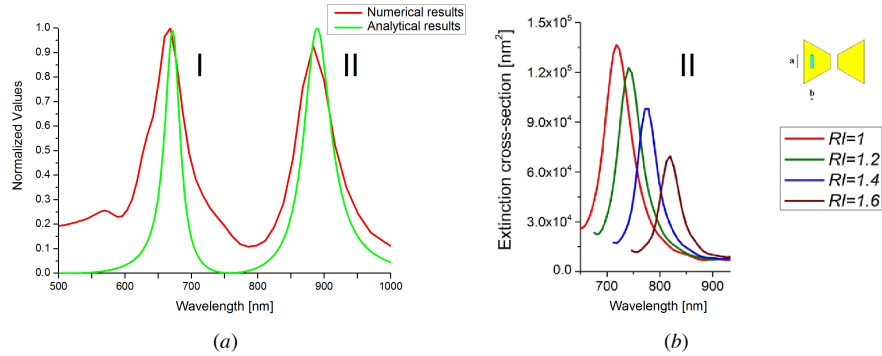


Figure 6. Extinction cross-section spectra for modified gold bow-tie nanoparticles, in the case of (a) analytical-numerical model comparison (normalized values) [41], and (b) local RI variation, inside the teal blue area.

Another property of metallic nanoparticles consists of multi-resonant approach. In [41], a multi-resonant bow-tie structure is presented. The classical bow-tie nanoparticle consists of two opposing truncated gold prisms, as depicted in Figure 5 (a). In the classical bow-tie particle, the reason for the employment of the dielectric hole, as shown in Figure 5 (b), is the possibility to excite a new resonant frequency on the same structure in order to achieve a multi-band behavior.

In this configuration the particles exhibit an additional resonant frequency, as reported in the following Figure 6. In order to tune and control the physical phenomenon, and to design it with specific requirements, in [41] the analytical model has been developed. A good agreement among numerical and analytical results was achieved, as shown in Figure 6 (a). We notice that the nanostructures have been analyzed in terms of sensitivity properties, and results reveal that the modified bow-tie structure can be applied for biomedical applications.

For example, it is well known that in the VIS-NIR tissues have a specific RI value, representing a unique physical property. Local RI changes in the tissue are related to different pathological conditions. In disease states, such as neoplasm or inflammation, color of tissue changes due to the change in RI , which in turn is re-

1 lated to the relative permittivity of the tissue. Therefore, the dielectric constant
2 changes as a result of different re-distributions in electron density of the tissue and
3 it can be associated to different pathological conditions.

4 The obtained results are shown in Figure 6 (b), where the local RI variation
5 causes a selective shift in the extinction cross-section spectra (Peak II). In particu-
6 lar, Peak II associated to the local RI variation inside the teal blue area shifts from
7 717 nm to 820 nm for $1 < RI < 1.6$. The mean sensitivity value is 171 nm/ RIU .

8 **Transmission, Diffusion and Reception process in** 9 **Electromagnetic Nanonetworks**

10 After describing the main features of electromagnetic nanoparticles used in bi-
11 omedical applications, like sensing and drug delivery, in this section we investi-
12 gate how nanoparticles are *transmitted*, *diffuse*, and finally are *captured* in a phys-
13 ical end-to-end model.

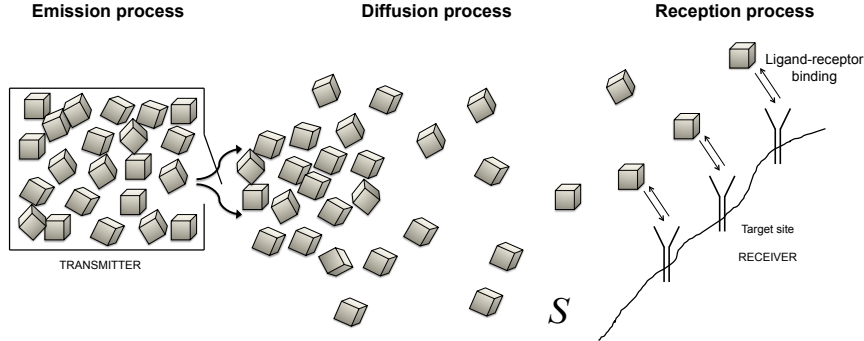
14 The concept of electromagnetic nanonetworks holds classical basics of both in-
15 formation theory, and electromagnetic propagation. The specific feature is the use
16 of engineered metallic nanoparticles, working in the THz frequency range, which
17 are exploited by means of the use of LSPR phenomenon.

18 From the communication and channel modelling point of view, the electromag-
19 netic nanonetworks represent a nanonetwork where the nanonodes are metallic
20 nanoparticles, as small as molecules, and then depending on diffusion-based nano-
21 communications. Following this consideration, we can compare a flow of nano-
22 particles as a flow of molecules, and the electromagnetic theory laws are applied
23 for sensing applications, by exploiting the interaction of an impinging electromag-
24 netic wave with the metallic surface of the nanostructures.

25 In a nanonetwork utilized for sensing or drug delivery purposes, from the point
26 of view of the information theory, the *transmitter* is represented by the source
27 (*i.e.*, nanomachine) that emits nanoparticles, while the *receiver* is the set of target
28 cells laying in the area where a phenomenon needs to be sensed or a drug needs to
29 be delivered. Due to the particular nature of nanoparticles (*i.e.*, very small devices
30 at nanoscale level), the propagation process allows nanoparticles to move along
31 the space linking the transmitter to the receiver, according to a diffusion model.

32 Communications via Diffusions (CvD) arise from molecular nanonetworks [42,
33 60], where specific molecules, called *messenger molecules*, act as the information
34 carriers between two nanomachines residing in close-to-medium proximity to each
35 other in a fluid environment.

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Figure 7. End-to-end physical model of an electromagnetic nanonetwork for sensing or drug delivery purposes. The transmitter is represented by a nanomachine, filled with a nanoparticle concentration, exiting and diffusing into the medium (*i.e.*, the space S). The nanoparticles act either as drug carriers for drug delivery applications, or as bio-functionalized nanodevices for sensing applications. The receiver is often represented by a target site (*e.g.*, a group of tumor cells), with receptors for capturing the nanoparticles. The sensing and drug delivery applications occur only when the nanoparticles have been bound to the receiver's receptors.

In our vision, CvD systems can be also applied to electromagnetic nanonetworks, due to common features between molecules and metallic nanoparticles. Indeed, a single nanoparticle is an indivisible object, like a molecule, which is released (during the emission process), or collected by means of chemical reactions (during the reception process). At the same time, a nanoparticle can act as *messenger nanoparticle*, since it can carry information, such as drug concentration. Furthermore, metallic nanoparticles constituting the electromagnetic nanonetwork are passive nanodevices that is, they cannot transmit data information (*e.g.*, drug molecules) by themselves, but need to be impinged by an electromagnetic wave in order to release information. Due to all these features, electromagnetic nanoparticles are assumed to be very similar to molecules.

Several works have thought of the transmitter as a nanomachine or a bio-engineered cell capable of emitting nanoparticles and releasing them into the medium to change their concentration [43]. Similarly, the receiver is capable of capturing the nanoparticles, by using *ligand-receptor* bindings [44], [45].

If a nanoparticle collides with a receiver, it means that the nanoparticle hits the receiver, and the nanoparticle is then removed from the system since the couple ligand-receptor at a receiver forms a chemical bond with the messenger nanoparticle [46]. Indeed, it is assumed that the whole surface of the receiver is composed of receptors, which are able to bind with the messenger nanoparticles. It follows that each received nanoparticle constitutes the signal just once. This process is named *first hitting process* [67]. On the other side, if a nanoparticle hits a transmitter, it bounces back from the transmitter since a transmitter does not have the same ligand receptors on their outer shell.

1 The receiver is often a biological sample (*i.e.*, a tumor tissue), and has a large
2 number of binding places, so that it can estimate the concentration by averaging
3 over all the created bonds.

4 From all previous considerations, a nanonetwork can be represented by the fol-
5 lowing main processes [6, 42]:

- 6 1. *Emission*: this process investigates how nanoparticles are transmitted
7 from a nanomachine (or a set of nanomachines);
- 8 2. *Diffusion*: illustrates how nanoparticles diffuse along the gap that sepa-
9 rates the transmitter from the receiver lying in the common space S ;
- 10 3. *Reception*: describes how nanoparticles are captured by the receiver, by
11 means of ligand-receptor bindings.

12 The transmitter releases a number of nanoparticles in a time slotted fashion.
13 These messenger nanoparticles scatter in the medium following the probabilistic
14 diffusion dynamics in the environment. Some of these released nanoparticles are
15 received via receptors in the cell membrane.

16 All the above-mentioned processes take place inside a space S , which is strictly
17 related to the application for which the nanonetwork is designed, and it is initially
18 filled with a homogeneous concentration of particles equal to zero. The physical
19 end-to-end model is depicted through the scheme in Figure 7, where the main
20 modules of *emission*, *diffusion* and *reception* of nanoparticles are represented.

21 In the recent years, many studies have focused on the channel capacity and
22 propagation dynamics of the CvD medium [46, 48, 49, 50]. Some of these propa-
23 gation process studies consider the probabilistic behaviour of the channel as the
24 transfer function of the system, while others model it as a unique noise source in-
25 herent to a diffusion medium. According to the aforementioned studies on channel
26 capacity, it has been shown that the reliability of the transmission diminishes ex-
27 ponentially with increasing transmission range, while the average end-to-end de-
28 lay increases exponentially. These results limit the effective communication range
29 of the CvD systems to a few tens of micrometers.

30 Several studies on CvD systems focus on a single transmitter single receiver
31 systems. However, when there are more communicating couples in the environ-
32 ment, additional issues arise (*i.e.*, interference and noise). An important issue is
33 the interference between closely placed transmitting couples in the same medium.
34 When two or more transmitting pairs try to communicate simultaneously using the
35 same technique and the same type of messenger nanoparticles, their signals affect
36 each other and reduce/increase the Signal-to-Noise and Interference Ratio (SINR)
37 of all nearby transmissions.

1 *Emission process*

2 The emission process aims to modulate the nanoparticle concentration rate (*i.e.*,
3 $r_T(t)$) at the transmitter. This is the first process occurring in the end-to-end physi-
4 cal model, as depicted in Figure 7.

5 In [49], Pierobon and Akyildiz developed a mathematical framework to inter-
6 pret the diffusion-based nanoparticle communications, in the simple case of one
7 transmitter and one receiver. The emission process has been modelled by means of
8 the electrical circuit theory, considering a RC circuit, where the capacitor charg-
9 ing/discharging current is related to the net flux of nanoparticles. Then, the parti-
10 cle concentration rate $r_T(t)$ can be expressed as:

$$11 \quad r_T(t) = \frac{dc(t)}{dt}. \quad (8)$$

12 The approach in [49] represents the classical representation of nanoparticle
13 emission in diffusion-based nanonetworks. Following this vision, the work in [50]
14 is based on a *pulse-based modulation scheme* applied to diffusion-based commu-
15 nication nanonetworks. Whenever a transmitter (*i.e.*, a nanomachine) wants to
16 communicate some information to its neighbours, it instantaneously releases a
17 pulse of nanoparticles (*e.g.*, molecules). This creates a spike in the nanoparticle
18 concentration at the transmitter location, which then propagates through space and
19 time. Notice that the nanoparticle concentration does not only depend on time, but
20 it also varies along the space. The propagation of this pulse can be analytically
21 modelled by solving Fick's Laws of Diffusion.

22 If the transmitter releases Q molecules at the instant $t = 0$, the molecular con-
23 centration at any position x [nm] in space, from the transmitter location is given
24 by:

$$25 \quad c(x,t) = \frac{Q}{(4\pi Dt)^{3/2}} e^{-x^2/4Dt}, \quad (9)$$

26 where t [s] is the time, and D [cm²/s] is the diffusion coefficient, assumed as a
27 constant value for a given fluidic medium, and depending on the size and shape of
28 the nanoparticles, as well as the interaction with the solvent and viscosity of the
29 solvent. Eq. (9) allows obtaining the concentration measured by a receiver located
30 at a distance $x = r$ [nm] from the transmitter as a function of time. We can observe
31 that the concentration initially measured by the receiver is zero, but it sharply in-
32 creases until reaching its maximum. The time instant at which this maximum oc-
33 curs can be interpreted as the pulse delay. After the concentration peak is reached,
34 the impulse response slowly decreases, forming a long tail due to the effect of dif-
35 fusion. In 1-D environments, it is easy to obtain the closed form solution for the

1 first hitting probability function, since the nanoparticles diffusing along the fluid
 2 hit the receiver with probability 1, thus representing a recurrent process. The ex-
 3 pression of the first hitting probability $F_h(r_0, t)$ for a point source in 1-D environ-
 4 ment is

$$5 \quad F_h(r_0, t) = \frac{r_0}{\sqrt{4\pi Dt^3}} e^{-r_0^2/4Dt}, \quad (10)$$

6 where r_0 [nm] is the distance to the receiver. On the other side, in 3-D environ-
 7 ments solving the first hitting probability function is a hard surface integration or
 8 differential equation problem, since there is a nonzero probability for a diffusing
 9 nanoparticle to miss the receiver [66]. As a solution for the 3-D case, Yilmaz *et al.*
 10 [67] simulate the first hitting process following a Gaussian distribution for each
 11 movement at each dimension in one time step made by messenger molecules, *i.e.*

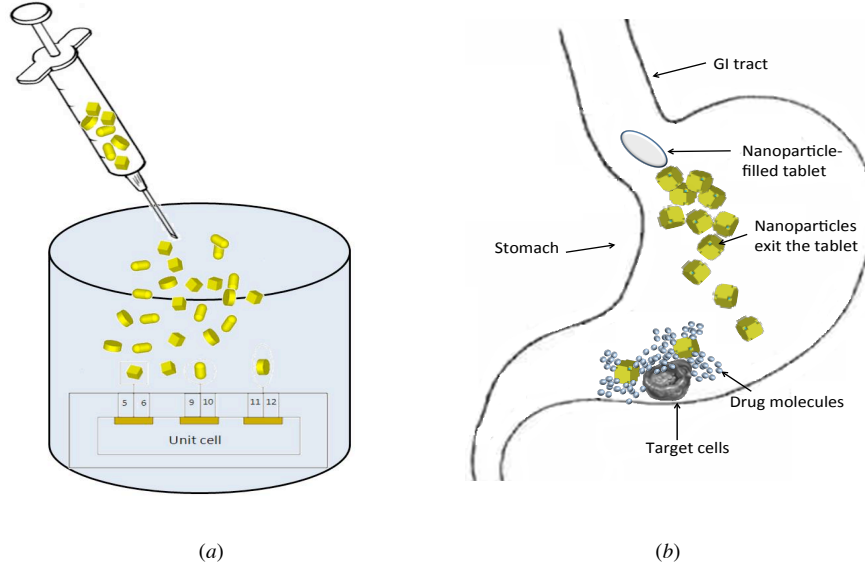
$$12 \quad \Delta x_i \approx \mathcal{N}(0, 2D\Delta t), \quad (11)$$

13 where Δx_i [nm] is the displacement at the i -th dimension (with $i = \{1, 2, \dots, n\}$),
 14 Δt [ns] is the time step, and assuming a reflection or removal of those particles
 15 that hit to transmitter or receiver, respectively.

16 In some biomedical applications, like extra-vivo sensing, a *multi-source nano-*
 17 *particle emission* process can be required. As an instance, *target cell detecting* can
 18 be tailored to the detection of DNA alterations of the BREast CANcer susceptibil-
 19 ity gene 1 (BRCA1). As known, BRCA1 is a human gene belonging to a class of
 20 genes known as tumor suppressors. Mutation of these gene causes a genetic sus-
 21 ceptibility to breast cancer, and changes in its alternative splicing profile have
 22 been associated with malignant transformations that greatly increase woman's risk
 23 of developing breast cancer [51, 52].

24 In this scenario, the emission process should be designed to allow the capture
 25 of target BRCA1 DNA sequences through a set of unit cells, each of them com-
 26 posed of square gold patches (*receptors*) deposited on a silica substrate. Each re-
 27 ceptor is functionalized with the structure of the BRCA1 splice with the corre-
 28 sponding sandwich assays. The chemical receptors are BRCA1 alternative splice
 29 variants *i.e.*, $\Delta(5q, 6)$, $\Delta(9, 10)$, and $\Delta(11q)$ [53]. Figure 8 (a) depicts the end-to-
 30 end physical model for the detection of BRCA1 DNA alterations [25].

31 In this case, each nanomachine can emit a particular type of nanoparticles (*i.e.*,
 32 with a given shape and size), and the chemical receptors are accordingly function-
 33 alized for the capture of one type of nanoparticle. Then, the transmitter (*i.e.*, rep-
 34 resented by the syringe) is comprised of three nanoparticles flows, injected all to-
 35 gether in a sink with a biological tissue, and each constituted of different shapes
 36 nanoparticles (see Figure 8 (a)). The receiver is represented by the unit cell of a
 37 sensing platform, as described in the next section.



1 **Figure 8. End-to-End physical model in (a) sensing (i.e., multiple-detection of DNA alterations)**
 2 **[25], and (b) drug delivery (i.e., stomach disease therapy) applications.**

3
 4 A multi-source nanonetwork can suffer from synchronous and asynchronous
 5 transmissions, which can degrade network performance with an increase of inter-
 6 ference. The same consideration exists at the receiver side, where a selective re-
 7 ception of nanoparticles occurs. As expected, a nanoparticle (a)synchronous
 8 transmission corresponds to a (a)synchronous reception. In the case of *asynchro-*
 9 *nous emission*, just one nanomachine has transmitted a flow of nanoparticles, and
 10 then just one kind of ligand-receptor bind can occur (e.g., the nanomachine 2 has
 11 transmitted a flow of nanocubes), while in the *synchronous* case, all the nan-
 12 omachines have transmitted the own nanoparticles.

13 In the case of synchronous emission, nanoparticles detection issues are limited
 14 and not likely to occur since each nanoparticle is allowed forming a ligand-
 15 receptor binding with a given receptor. As a result, no ambiguity issue regarding
 16 the nanoparticle detection can occur. However, during the diffusion process, the
 17 flows of nanoparticles can be affected by interferences (i.e., other nanoparticles
 18 can be recognized as belonging to the same flow), and this provides a change in
 19 the emission rate of the single nanomachine.

20 Finally, in oral drug delivery systems, the drug delivery process can occur via
 21 different modalities, such as oral ingestion, and injection.

22 In [22], the diffusion of nanoparticles within the human body is modelled as a
 23 diffusion-based nanonetwork, under the specific features of the blood flow.

24 In oral drug delivery, the recommended total dose of drug is delivered through
 25 the medicament concentration filled into a sachet, and encapsulated or compressed
 26 into a tablet, as well as in a liquid fluid. Spatially controlled drug delivery can be

1 obtained by conjugating drug-encapsulated nanoparticles with targeting ligands,
 2 which could facilitate the preferential delivery of nanotherapeutics to the sites of
 3 interest, while reducing undesired side effects elsewhere. In this case, metallic na-
 4 noparticles, filled with typical drugs for antitumoral therapy, and covered with a
 5 polymer that allows a higher resistance to the Gastro-Intestinal tract barrier, are
 6 used. In this particular scenario, the flow of nanoparticles is emitted by a tablet, as
 7 well depicted in Figure 8 (b).

8 We assume that each nanoparticle is covered by a polymer that releases drugs,
 9 when induced by stimulation, able to cause a change in the nanostructure.

10 In general, stimulus-responsive polymeric nanoparticles, namely smart nano-
 11 particles, could undergo structure, shape, and property changes after being ex-
 12 posed to external signals, such as pH, temperature, magnetic field, and light, large-
 13 ly used to modulate the macroscopical behavior of the nanoparticles. Table 1
 14 collects the main smart polymers used for drug delivery systems.

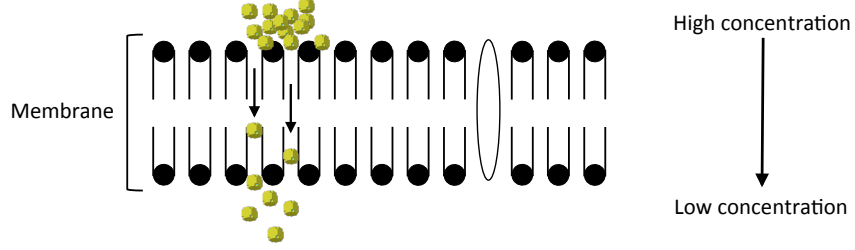
15 In the literature several works have focused on pH and temperature as the pre-
 16 dominant stimulus signals, so that pH- and thermo-responsive nanoparticles have
 17 been extensively studied [71, 74, 75, 76]. As an instance, the most commonly used
 18 thermo- and pH-sensitive segments are poly(N-isopropylacrylamide) (PNIPAAm)
 19 and poly(acrylic acid) (PAA), respectively [76, 77, 78, 79].

20
 21 **Table 1. Examples of smart polymers used for drug delivery systems [68].**

Stimulus	Polymer	Drug Released
pH	Poly(methacrylic-g-ethylene glycol) – p(MMA-g-EG)	Insulin
Electric field	Poly(methacrylic acid) – PMA	Pilocarpine and raffinose
Glucose concentration	Poly(methacrylic acid-co-butyl methacrylate)	Insulin
Temperature	Layer of Chitosan Pluronic on PLGA microparticles	Indomethacin
Morphine concentration	Methyl vinyl ether-Co-anhydride maleic copolymer	Naltrexone
Urea concentration	Methyl vinyl ether-Co-anhydride maleic copolymer	Hydrocortisone

22 *Diffusion process*

23 The characterization of the diffusion process derives from the capability to
 24 learn from biology and has mainly inspired molecular nanocommunication sys-
 25 tems.



1
2 **Figure 9. Schematic of diffusion process, where nanoparticles move from high to low concen-**
3 **tration levels.**

4
5 In Figure 9, we can see an example of how the diffusion process works. Inde-
6 pendently from the type of communication (*i.e.*, molecular or electromagnetic), it
7 is worth to discuss about the main physical principles of the fluid dynamics that
8 are the milestones of the diffusion process. In fact, the main mechanism that
9 drives the communication among nanoparticles is the free diffusion of nanoparti-
10 cles in the space.

11 First of all it is useful to start from the beginning, the Fick's First Law of Diffu-
12 sion. This law sums up the diffusion process through the following mathematical
13 expression [54]:

14
$$J_T(x, t) = -D \nabla c(x, t), \quad (10)$$

15 where $\nabla c(x, t)$ represents the concentration gradient per unit length. It follows
16 that $J_T(x, t)$ is the flow of solute, and then Eq. (10) can be identified with the na-
17 nanoparticle concentration flux at the output of the transmitter, dependent on the na-
18 nanoparticle concentration gradient at time t and position x .

19 In practice, the Fick's First Law of Diffusion describes the diffusion as the pro-
20 cess where a solute moves from a region of high concentration to a lower concen-
21 tration area. Usually, there is a predominant direction of the flow, from the highest
22 to the smaller concentration region, but diffusion is a complex phenomenon and
23 also occurs in the opposite direction.

24 This more complex phenomenon can be described as a function of time by de-
25 scribing the net change in this way:

26
$$\frac{\partial c}{\partial t} = \frac{1}{dx} [J_T(x) - J_T(x + dx)] = -\frac{\partial J_T}{\partial x}, \quad (11)$$

27 and so, we can derive the Fick's Second Law of Diffusion as:

28
$$\frac{\partial c}{\partial t} = D \frac{\partial^2 c}{\partial x^2}. \quad (12)$$

1 Some studies present the propagation of *messenger nanoparticles* by means of
2 free diffusion. In [55], the authors discuss about two simple models of phero-
3 mones diffusion in still air that are different in terms of the emitting scheme. The
4 first one treats instantaneous emission whereas the second considers the contin-
5 uous emission of pheromones. Communications via Diffusion (CvD) in a fluid are
6 investigated in [56], where the authors show how the most of the studies about
7 CvD systems is based on a very simple assumption, namely the systems are with a
8 single transmitter and a single receiver. They analyzed a more realistic situation,
9 that is, there are more communicating couples that make the systems much more
10 complicated. In fact, the authors focus on the interference between closely located
11 transmitting couples that share the same medium.

12 In [21] authors consider the diffusion process from a very interesting perspec-
13 tive, and for a very important application *i.e.*, the development of nanorobots with
14 sensors for nanomedicine. They show how, for an intra-body application, it is pos-
15 sible to apply fluid dynamics rules, by describing the fluid through the classical
16 continuum equations. By applying the Navier-Stokes equation and the continuity
17 condition, they are able to derive the velocity of the fluid. In their work they con-
18 sider two types of forces the nanoparticles are subjected to, namely *deterministic*
19 *forces*, due to the fluid motion, and *stochastic forces* due to thermal motion of na-
20 noparticles in the fluid. Stochastic forces give rise to additional random motion,
21 *i.e.*, Brownian motion. The different perspective of the diffusion as considered by
22 Cavalcanti *et al.* consists on the fact that a nanorobot is considered at rest with re-
23 spect to the rest of the fluid, but it will be able to collect biomolecules (that is the
24 main task of the nanorobot), due to the diffusion of the biomolecules.

25 Based on the main concept and the same principles of the CvD, authors in [25]
26 show how the diffusion process works in the case of electromagnetic nanoparti-
27 cles. More specifically, the authors show that gold nanoparticles can behave simi-
28 larly to the molecules. In particular, different geometries are assumed, but the dif-
29 ferent nanomachines are similar in terms of volume occupancy. This characteristic
30 simplifies the analysis of the diffusion process, even if the authors consider a
31 three-dimensional space *i.e.*, a lattice, to describe the diffusion phenomenon.

32 ***Reception process***

33 In sensing applications designed for the detection of chemical and biological
34 agents, nanoparticles (specifically, *nanosensors*) are usually composed of (i) a
35 *recognition system* or receptor, and (ii) a *transduction mechanism*.

36 Nanosensors can be based on the use of noble metal nanoparticles [57] (*e.g.*,
37 gold nanoparticles) and are functionalized with a biological receptor, such as an
38 antibody, that is bound to the specific antigen of a given disease. The ligand-
39 receptor binding [58] is, therefore, the mechanism that starts the reception process.

1 In several works [47, 59], a particle receiver model is developed by taking the lig-
2 and-receptor binding mechanism into account.

3 The binding event between the recognition element and the target can alter
4 physicochemical properties of the transducer that, in turn, can generate a detecta-
5 ble response signal. In particular, the binding creation changes the resonant fre-
6 quency of the surface plasmons resulting from light irradiation. Through the LSPR
7 technique, it is then possible to perform chemical or biological sensing.

8 The two main operations (*i.e.*, binding creation, and signalling transduction)
9 that occur in the reception process are depicted in Figure 10, for the case of sens-
10 ing application through metallic nanoparticles.

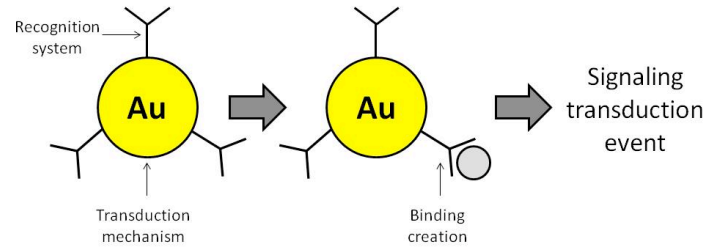
11 From the above considerations, it follows that the reception process has the task
12 of (*i*) sensing the particle concentration at the receiver, and (*ii*) producing, accord-
13 ingly, the output signal. As an example of sensing application, in the case of de-
14 tection of BRCA1 DNA alterations [25], receptors take place only in correspond-
15 ence of BRCA1 alternative splice variants, *i.e.*, $\Delta(5q, 6)$, $\Delta(9, 10)$, and $\Delta(11q)$
16 [53].

17 The binding reaction occurs when the receptor was not previously bound to a
18 particle. A chemical receptor, depending whether it is involved in a complex or
19 not, triggers an output signal accordingly. The output signal of the end-to-end
20 model results proportional to the rate of change in the ratio τ of the number of
21 bound chemical receptors over the total number of chemical receptors. The trend
22 is to reach a ratio between the number of bound chemical receptors over the total
23 number of chemical receptors. The variation of the number of bound chemical re-
24 ceptors $n_c(t)$ inside the reception space at time t is related to the number of recep-
25 tor N_R according to the following equation [49]:

$$26 \quad \frac{dn_c(t)}{dt} = N_R \frac{d\tau}{dt}. \quad (13)$$

27 According to the ligand-receptor binding reaction kinetic, when a set of nano-
28 particles (*i.e.*, NP), accordingly functionalized with a given antigen, are emitted by
29 the transmitter nanomachine, encounters with receptors (*i.e.*, R) lying on the re-
30 ceiver, nanoparticles bind the receptors. These bound nanoparticle-receptors (*i.e.*,
31 $NP+R$) constitute complexes (*i.e.*, bound receptors), as well as it is possible to re-
32 lease molecules NP from receptors R , respectively according to the following
33 chemical reactions [47],





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Figure 10. Schematization of the reception process in electromagnetic nanonetworks.

where k_1 [$\mu\text{mol/L/s}$] and k_{-1} [s^{-1}] is the *rate of binding reaction*, and the *rate of release reaction*, respectively.

In the case of drug delivery systems that make use of hollow metallic nanoparticles opportunely filled with drug concentration to be released locally, as the oral administration of a tablet for stomach disease therapy, depicted in Figure 8 (b), the further step of *drug release* needs to be accomplished.

The breakage of the polymer that covers the nanoparticle is also realized by means of an electromagnetic impinging plane wave that causes the polymer chains to collapse, exposing the holes on the metallic nanoparticle, and thereby releasing the pre-loaded effector.

In [69] it was shown that for temperature increase, the core layer of PNIPAAm packed more compactly, then causing the decrease in the nanoparticle size. The drug release test showed the drug release was rapid at low pH medium from drug-loading nanoparticle. Indeed, it is known that under a temperature stimulus, the LSPR phenomenon is established, and the free electrons of the nanoparticles follow collectively the electromagnetic oscillations. As a result, the absorbed incident electromagnetic field at the resonant wavelength is converted into heat through the photothermal effect [70]. The increase of temperature causes the polymer chains to collapse, thus exposing the holes on the nanoparticle, and thereby releasing the drug concentration. Therefore, the drug is released at the appropriate time and place.

Also, Li *et al.* synthesized a Y-shaped pH-/thermo-responsive copolymer P(UA-Y-NIPAAm) composed of pH sensitive poly(undecylenic acid) (PUA) segment and temperature-sensitive poly(N- isopropylacrylamide) (PNIPAAm) segment [72]. Soppimath *et al.* prepared novel temperature responsive nanoparticles with the transition at physiological temperature [73], whose structure could be deformed in acid environments to induce the release of encapsulated drug.

To summarize, the drug release mechanism based on polymers aims to [68]:

- improve the pharmaceutical profile and stability of a drug,
- ensure its correct concentration,
- achieve maximum biocompatibility,
- minimize side effects,
- stabilize the drug in vivo and in vitro,
- facilitate the accumulation of the drug at a specific site of action,

- 1 • increase exposure time in the target cell.

2
3 The above-mentioned nanoscale sensing technologies require the use of external
4 excitation and measurement equipment to operate. In the vision of future nanosensors
5 devices [3] equipped with nanosensors, nanoactuator, nano-memory, nano-antenna,
6 nano-EM transceiver, nano-processor and nano-power unit, nanodevices will be able
7 to exchange information through *nano-electromagnetic communications*. By means of
8 communication, the nanomachines will be able to accomplish more complex missions
9 in a cooperative manner. As an example, nanosensors will be able to transmit the
10 sensed information in a multi-hop fashion to a sink or a command centre.

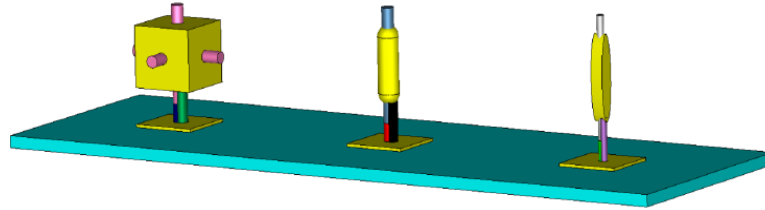
11
12 For electromagnetic nanonetworks, the use of modulation and channel sharing
13 mechanism based on the asynchronous exchange of femtosecond-long pulses, which
14 are transmitted following an on-off keying modulation spread in time, has been
15 proposed [12]. In [61], a receiver architecture for electromagnetic nanonetworks
16 that make use of pulse-based modulation has been proposed. The receiver is designed
17 in order to be simple and robust, and it is based on a Continuous-Time Moving
18 Average (CTMA) symbol detection scheme. This scheme bases its decision in the
19 received signal power maximum peak after the CTMA, which is implemented with
20 a single low-pass filter. Afterwards, to decode the symbol, this maximum is compared
21 with a previously defined threshold.

22 **Nanoparticulate Sensing and Drug Delivery**

23 Recently, by functionalizing the nanoparticles with biological agents such as
24 antibodies or single stranded DNA chains, nanoparticles are forced to bind preferably
25 to specific target cells. This aspect is the focus of extra-vivo sensing application,
26 such as the multi-detection of DNA alterations of the BRCA1.

27 Several works addressing the issue of DNA detection exploit metallic nanoparticles,
28 in order to enhance the Raman signal of fluorescent target absorbed on the metallic
29 surface. The predominant mechanism responsible for this enhancement arises from the
30 local electromagnetic field intensification at the surface of noble metal nanoparticles.
31 This method is called Surface-Enhanced Raman Scattering (SERS), [80]. Among main
32 SERS-based studies, the majority uses fluorophores as Raman labels, but it has been
33 shown that they reduce the Raman scattering efficiency, due to the displacement of
34 fluorophores by biological media [80].

35 On the other hand, techniques based on the use of metallic nanoparticles illuminated
36 by an electromagnetic wave (visible and near infrared region) are largely exploited,
37 as alternative approaches for gene alterations detection [62, 63]. More specifically
38 in [25], the LSPR phenomenon for the multi-detection of BRCA1 DNA alteration,
39 when biological gold nanoparticles are captured at the receiver, has been presented.
40



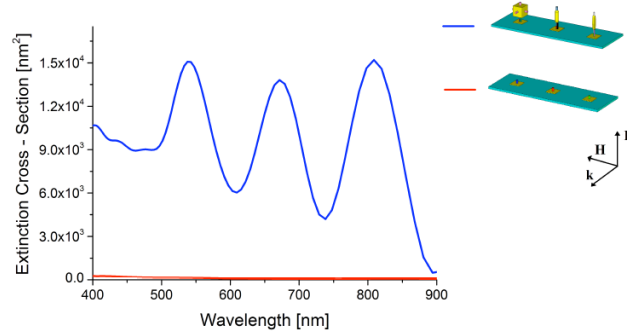
1
2 **Figure 11. Ligand-receptor binding of three nanoparticles. Green, black and violet sections**
3 **represent the Target Sequences for each nanoparticle [25].**
4

5 In this approach, it is crucial to obtain different and independent electromagnet-
6 ic responses from each nanoparticle in terms of resonant wavelength, amplitude
7 and magnitude width. Cube, rod and elliptical cylinder nanoparticles have been
8 functionalized with the corresponding *probe sequences* of alternative splicing
9 junctions of BRCA1, as shown in Figure 11. The three corresponding DNA *cap-*
10 *ture sequences* of alternative splicing junctions of BRCA1 are allocated on three
11 square gold patches of silica substrate, as depicted in Figure 11.

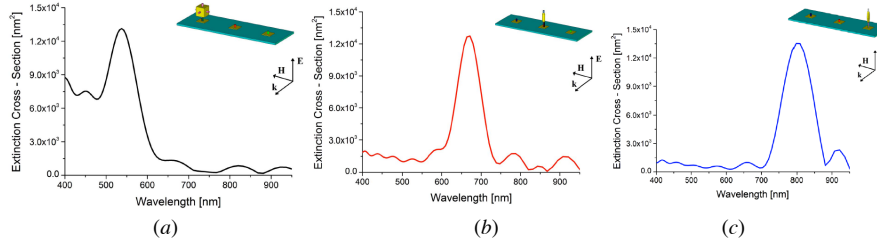
12 Furthermore, Figure 11 shows the unit cell of the sensing platform, composed
13 of three square gold patches, functionalized with three different Capture Sequen-
14 ces (specifically, depicted in blue, red and green). The sensing platform is excited
15 by an impinging plane wave, the excitation is employed to analyze the electro-
16 magnetic properties, in terms of extinction cross-section spectra, as reported in
17 Figure 12 for the case of cube, rod, and elliptical cylinder nanoparticles, in binding
18 and no-binding.

19 The meaning of this result is the lack of overlapping of the extinction cross-
20 section spectra generated by the nanoparticles. In other words, it is crucial that the
21 resonant peaks do not overlap, in order to obtain a multi-sensing approach. This
22 result has been reached by using the aforementioned analytical model; in fact, they
23 have allowed tuning the electromagnetic response for each nanoparticle. In addi-
24 tion to this, two cases of nanoparticle detection have been considered: the *asyn-*
25 *chronous* and *synchronous*. In the first case, just one nanomachine has transmitted
26 a flow of nanoparticles, and so we have just one kind of ligand-receptor bind,
27 while in the synchronous case, all the nanomachines have transmitted the own na-
28 noparticles, as shown in Figure 12. In this way, it is still possible to detect the
29 DNA alteration as in the asynchronous case. The synchronous case is very im-
30 portant for multi-detection, since it allows the detection of different DNA altera-
31 tions.

32 To demonstrate this ability, the extinction cross-section spectra for cube, rod
33 and elliptical cylinder binding in the asynchronous case are shown in Figure 13
34 (a), (b), and (c), respectively. Figure 13 shows the capability of the nanosensors to
35 distinguish the different BRCA1 alterations. Furthermore, these results prove the
36 structure capability to be able to reveal BRCA1 alterations in the case of double
37 binding *e.g.* (i) nanocube, and nanorod particle binding, (ii) nanocube and ellipti-
38 cal cylinder binding, and (iii) nanorod and elliptical cylinder binding.



1
2 **Figure 12. Synchronous nanoparticle detection [25]. Extinction Cross-Section spectra obtained when all the target sequences are bound (blue line), and are not bound (red line).**



6
7
8 **Figure 13. Asynchronous detection [25]. Extinction Cross-Section spectra obtained for binding of (a) nanocube, (b) nanorod, and (c) elliptical cylinder nanoparticle.**

11 In the last few years several researches have focused the attention on the drug
12 release by using functionalized gold nanoparticles [64]. The goal of this technique
13 is to use specific nanoparticles as drug carriers. Among the different used ap-
14 proaches, the photothermal effect appears as a great promise in the drug delivery
15 field. When a metallic nanoparticle is illuminated by electromagnetic field in the
16 visible and near infrared frequencies regime, the LSPR-induced local heating
17 causes the release of loaded drug; this leads to enhanced drug efficacy with high
18 spatio-temporal resolution, and very few side effects. In fact, the high electric field
19 concentration on the nanoparticles surface ensures the heat of particle and, there-
20 fore, it causes the polymer chains to collapse, exposing the holes on the nanoparti-
21 cles and thereby releasing the pre-loaded effector.

22 The same principle used in [25] could be efficiently applied to *in-vivo* drug deli-
23 very system. More specifically, it is possible to use different shapes of nanoparti-
24 cles to release more types of drug. As shown in [65], there are cases that need of a
25 multiple drug release in different times. Selective releases were induced by selec-
26 tive hot-spots of gold nanorods by electromagnetic field irradiation at the reso-
27 nance frequency of the nanorod. In this way, at specific wavelengths it is possible
28 to excite one type of gold nanorods, and selectively release one type of DNA
29 strand [65].

1 By using the aforementioned nanoparticles, it is possible to load different drugs
2 into distinct different nanoparticles shapes and by tuning the electromagnetic field
3 frequency will be possible to excite specific nanoparticles. Therefore, by exploit-
4 ing the photothermal effect, only selective drugs will be released, in the case of
5 drug delivery applications and only selective DNA chains will be detected, in the
6 case of sensitive applications. As results, the use of different nanoparticles shapes
7 enables independent control over the release of each drug by tuning the nanopar-
8 ticles, leading to a programmed release of multiple drugs.

9 Finally, another work dealing on the use of nanoparticles for sensing applica-
10 tions (*i.e.*, detection of DNA alterations) is given in [81]. Specifically, two-layers
11 nanostructures are considered, comprising of a SiO₂ core, and a gold shell with
12 different thicknesses. By varying the ratio of the core/shell radius, it is possible to
13 tune the electromagnetic response. Indeed, the optical cross-section propriety ob-
14 tained for nanoshells with different radii is higher than that one obtained for the
15 nanoshells with the same volumes.

16 Simulation results in [81] show the extinction cross-section spectra in the case
17 of (*i*) synchronous nanoparticle transmission, (*ii*) asynchronous nanoparticle
18 transmission, and (*iii*) no nanoparticle reception. The extinction cross-section
19 spectra show a peak at 550 nm for both synchronous and asynchronous transmis-
20 sion, but the magnitude of the spectral signal is higher for the synchronous trans-
21 mission, with respect to the asynchronous case. In both two cases, no shift of the
22 resonant wavelength occurs. From a physical point of view, this means that there
23 are no coupling effects among nanoparticles. Finally, as expected, in the case of
24 no nanoparticle reception, the extinction cross-section spectrum is approximately
25 zero.

26 **Conclusions**

27 In this chapter we have addressed recent advances in electromagnetic nanonet-
28 works for biomedical applications. Starting from the description of most used na-
29 noparticles for sensing and drug delivery systems (*i.e.*, nanorods, nanocubes, bow-
30 tie nanoparticles, etc.), we have presented the analytical models behind their spe-
31 cific properties. By exploiting the well-known LSPR phenomenon, electromagnet-
32 ic nanoparticles are shown to be very suitable for sensing (*i.e.*, analysis of concen-
33 tration of specific substances), and drug delivery applications (*i.e.*, nanoparticles
34 are intended as drug carriers for localized drug release).

35 Furthermore, under the assumption of similarity of electromagnetic nanoparti-
36 cles with biological molecules (*i.e.*, small size of the structure), we rely on specific
37 laws in the nanoscale. The channel model linking one transmitter to one receiver is
38 generally represented by a liquid environment (*i.e.*, the human blood flood or the
39 biological tissue), and is subjected to specific processes (*i.e.*, nanoparticle trans-

1 mission, diffusion, and reception). Once nanoparticles are captured by the recep-
2 tors, the detection process occurs by means of LSPR phenomenon.

3 Specific sensing and drug delivery applications of nanoparticles are also pre-
4 sented, regarding the detection of DNA alterations of BRCA1 gene, as well as for
5 fighting stomach diseases. The case of different shapes of nanoparticles has been
6 addressed, both for sensing and drug delivery applications, by showing how dif-
7 ferent geometric forms can be exploited for multi-detection sensing and to effec-
8 tively regulate programmed drug delivery, respectively.

9 Simulation results assess the validity of the use of electromagnetic nanoparti-
10 cles for these biomedical applications.

11 As a future investigation, novel nanomaterials are promising for the design of
12 innovative nanodevices. As an instance, graphene-based nanoantennas can provide
13 outstanding sensing capabilities, as well as graphene-based transistors are not only
14 smaller, but predictably faster too.

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